

# FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

|  |           |
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| OMB APPROVAL                                   |           |
| OMB Number:                                    | 3235-0287 |
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |   |   |
|---|---|---|
| 1. Name and Address of Reporting Person *<br><b>ROSS AARON</b>      | 2. Issuer Name and Ticker or Trading Symbol<br><b>LiveVox Holdings, Inc. [LVOX]</b>     | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br><input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below)<br><b>Chief Legal Officer</b> |
| (Last) (First) (Middle)<br><b>655 MONTGOMERY STREET, SUITE 1000</b> | 3. Date of Earliest Transaction (Month/Day/Year)<br><b>02/11/2022</b>                   |   |
| (Street)<br><b>SAN FRANCISCO, CA 94111</b>                          | 4. If Amendment, Date Original Filed(Month/Day/Year)                                    | 6. Individual or Joint/Group Filing(Check Applicable Line)<br><input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person   |
| (City) (State) (Zip)  | <b>Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</b> |   |

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) |   | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |            |       | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|-------|---|--|---|
|                                 |                                      |  | Code                           | V | Amount  | (A) or (D) | Price |   |  |   |
| Common Stock                    | 02/11/2022                           |  | A                              |   | 100,000<br><u>(1) (2)</u>   | A          | \$ 0  | 100,000   | D  |   |
| Common Stock                    | 02/11/2022                           |  | A                              |   | 100,000<br><u>(1) (3)</u>   | A          | \$ 0  | 200,000   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----------------|---|--|--|--|--|
|  |  |                                      |  |                                |   | Date Exercisable   | Expiration Date |   |  |  |  |  |
|  |  |                                      |  | Code                           | V   | (A)  | (D)             |   |  |  |  |  |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                     |       |
|--|---------------|-----------|---------------------|-------|
|  | Director      | 10% Owner | Officer             | Other |
| ROSS AARON<br>655 MONTGOMERY STREET, SUITE 1000<br>SAN FRANCISCO, CA 94111 |               |           | Chief Legal Officer |       |

## Signatures

|                                 |  |            |
|---------------------------------|--|------------|
| Aaron Ross                      |  | 02/22/2022 |
| **Signature of Reporting Person |  | Date       |

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Each restricted stock unit ("RSU") and performance stock unit ("PSU") represents a contingent right to receive one share of Common Stock of LiveVox Holdings, Inc.

(2) The reported securities are RSUs subject to service vesting, 25% at 11/8/22 and 6.25% quarterly thereafter, with full vesting by 11/8/25.

The reported securities are PSUs that are subject to both service and performance vesting. The PSUs will service vest 25% at 11/8/22 and 6.25% quarterly thereafter, with full vesting by 11/8/25. In addition, the PSUs are divided into three equal performance tranches with performance vesting to occur if, for any 20 trading days out of 30 consecutive trading days beginning after the grant date, the per share volume-weighted average price (the "VWAP") is greater than the applicable VWAP hurdle. The VWAP hurdles for the first, second and third performance tranches are \$12.50, \$15.00 and \$17.00, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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